

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kenji HORI

Divisional Application of Application No.: 10/032,093

Filed: September 18, 2003

Docket No.: 111608.01

For: OPTICAL COMPONENT THICKNESS ADJUSTMENT METHOD, OPTICAL COMPONENT, AND POSITION ADJUSTMENT METHOD FOR OPTICAL COMPONENT

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. Some of the references was/were cited by or submitted to the Office in parent application No. 10/032,093, filed December 31, 2001, which is relied upon for an earlier filing date under 35 U.S.C. §120. Thus, copies of these references are not attached. 37 CFR §1.98(d).

- 3. English-language Abstracts of the non-English language references are attached hereto.
- 4. A computer-generated English translation of the following Japanese Patent Publication has been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and is attached, but has not been reviewed for accuracy. See Reference JP 10-241214.

Respectfully submitted,



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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE	ATTY DOCKET NO. 111608.01		DIVISIONAL APPLICATION OF APPLICATION NO. 10/032,093	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT(S) Kenji Hori				
		FILING DATE September 18, 2003				
U.S. PATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
		6,226,128	05/2001	Shiozawa	359	642
		5,418,182	05/1995	Ford	437	129
		6,288,846 B1	09/2001	Stone, Jr.	359	742
		4,640,585	02/1987	Nojiri	359	652
		5,299,062	03/1994	Ogata	359	571
		2003/0082299	01/2003	Tomofuji	427	248.1
FOREIGN PATENT DOCUMENTS						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
		JP A 2000-162409 w/abstract	06/16/2000	Japan		
		JP S-164904 A w/abstract	06/29/1993	Japan		
		JP 10-241214 A w/English Translation	09/11/1998	Japan		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)						
		Ueyanagi et al., "Proposal of a Near-Field Optical Head Using a New Solid Immersion Mirror", Japanese Journal of Applied Physics, Vol. 39, pp. 888-891, 2000				
EXAMINER					DATE CONSIDERED	
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						